

Attention: Planned Changes to FASS-PH XML Functionality

FASS-PH will implement the following changes to the Data Collection Form (DCF) beginning with the 06/30/2004 fiscal year end:

- The unaudited DCF templates will be modified to remove information related to the forthcoming audit. This will simplify the data entry process for the unaudited submissions.
- The unaudited and audited DCF templates will include a Unique Fee Accountant Identifier (UFI). The Quality Assessment Subsystem (QASS) will provide the capability for fee accountants to register for a UFI. A PHA will be required to enter a valid UFI on the DCF if it uses a fee accountant. FASS-PH will automatically display fee accountant information corresponding to the selected fee accountant identifier on the DCF.

The FASS-PH XML functionality will be modified to accommodate these changes. The updated stylesheet and schema files will need to be used for submissions beginning with the 06/30/2004 fiscal year end. The following schema and stylesheet files will be updated to implement this modification:

- FASPHA.xsd
- FASPHA_SubmissionTypes.xsd
- FASPHA_DCFLineItems.xsd
- FASPHA_Stylesheet.xsl

FASS-PH customers will need to comply with the updated specifications to continue using the XML functionality after the 03/31/04 quarter. The updated stylesheet and schema files are in the development phase and will be published after a successful system test of the changes. PIH-REAC intends to publish the updated files by 03/01/2004.

For information related to the DCF changes, please reference the table below.

Legend for Submission Type column identifiers:

U1 = Unaudited/ A-133 Audit

U2 = Unaudited/ Non A-133 Audit

U3 = Unaudited/ No Audit

Legend for Submission Type column values:

R = Element is not included for FYEs of 06/30/04 and beyond; element exists for 03/31/04 and prior FYEs.

C = Change to existing line items and/or business rules. Version 5 corresponds to FYEs of 06/30/04 and beyond; versions 3 and 4 correspond to 03/31/04 and prior FYEs.

N = New element for FYEs of 06/30/04 and beyond.

- = Element is not applicable to the submission type.

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
R	R	R	G2000-011	Type of Circular A-133 Audit Forthcoming		Y	User may enter Single Audit or Program Specific Audit. Flag if Program Specific Audit.	
C	C	C	Version 5: G9000-020.1 through G9000-020.60 Version 3,4 G9000-020.1 through G9000-020.75	Multiple EIN 1-60 Multiple EIN 1-75	N		Version 5: G9000-020.1 is required if G2000-040 = Yes. G9000-020.2 – G9000-020.60 are not mandatory. Versions 3,4: G9000-020.1 is required if G2000-040 = Yes. G9000-020.2 – G9000-020.75 are not mandatory.	You must complete the Multiple EIN Details page if you have selected Yes for G2000-040 (Multiple EIN Indicator).
N	N	N	G9000-030	Data Universal Numbering System (DUNS) Number		Y	Must be nine digits and only numeric characters.	G9000-030 The Data Universal Numbering System (DUNS) Number must be a nine-digit number with no spaces, dashes, etc. This field can contain only numeric characters. Please verify that nine digits have been entered in G9000-030.

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
N	N	N	G2000-050	Multiple DUNS Indicator			User may enter yes or no. If yes, flag and display Details link.	This field is mandatory. Please enter a valid value for account number G2000-050.
N	N	N	G9000-030.1 through G9000-030.40	Multiple DUNS 1-40	N		G9000-030.1 is required if G2000-050 = Yes. G9000-030.2 – G9000-030.40 are not mandatory.	You must complete the Multiple DUNS Details page if you have selected Yes for G2000-050 (Multiple DUNS Indicator).
R	-	-	G2300-016	Did the entity expend more than \$25,000,000 in Federal awards during the fiscal year?		Y	Default to None. User must select either Yes or No. This line item exists for Version 3 and 4 submissions only.	This field is mandatory. Please enter a yes or no for the value in account number G2300-016.
R	-	-	G2300-025	Select a Cognizant Agency if Yes is selected for G2300-015 or G2300-016			Default to None. User must select a value other than None if G2300-015 or G2300-016 = Yes. This line item exists for Version 3 and 4 submissions only.	If you have selected "Yes" for G2300-015 or G2300-016, then you must select a cognizant agency in element # G2300-025.
R	-	-	G2300-035	Enter name if Other is selected for G2300-025			This line is required if the value of G2300-025 is Other. This line item exists for Version 3 and 4 submissions only.	If you have selected "Other" for Select a Cognizant Agency (element # G2300-025), then you must enter the name of the federal cognizant agency in element # G2300-035.
N	N	N	G2400-010	Does the PHA use a Fee Accountant?		Y	Default to None. User must select either Yes or No.	This field is mandatory. Please enter a yes or no for the value in account number G2400-010.

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
N	N	N	G2400-020	If "Yes" is selected for G2400-010, enter the Fee Accountant Identifier			<p>G2400-020 must be a five (5) digit number matching the UFI for the fee accountant. The following system business rules apply to G2400-020:</p> <ul style="list-style-type: none"> User must enter a five (5) digit number. User must enter a value with a match in the QASS table. User must enter an active UFI number (one that is not terminated by QASS). User must enter a UFI that is registered in QASS to perform either 1) fee accountant work or 2) both audit/attest work and fee accounting work. User cannot enter the same value for G2400-020 and G2200-005. If user selects "No" for G2400-010, G2400-020 cannot have a value. 	<ul style="list-style-type: none"> The UFI number you entered has been terminated by REAC and is no longer valid. You cannot complete your submission without a valid UFI number. Please verify that you have entered the correct number. There was no match found for the UFI number you entered. Please verify that you have entered the correct number. The UFI number you entered is not registered for fee accounting work. Please verify that you have entered the correct number. If necessary, please login to the QASS system to update your UFI profile. The UII and UFI values cannot be the same. Please update either G2200-005 or G2400-020. If you have selected "No" for G2400-010, you cannot enter a value for G2400-020.
R	R	R	G3000-005	Financial Statements Using Basis Other Than GAAP		Y	User may select: Yes, No	
R	R	-	G3000-011	Type of Audit Report to Follow		Y	Default to None. User must select from the following: Unqualified Opinion, Qualified Opinion, Adverse Opinion, or Disclaimer of Opinion.	This field is mandatory. Please enter a valid value for Type of Audit Report to Follow (element # G3000-011).

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
-	-	R	G3000-012	If Audited, the Most Likely Type of Audit Report		Y	Default to None. User must select from the following: Unqualified Opinion, Qualified Opinion, Adverse Opinion, or Disclaimer of Opinion.	This field is mandatory. Please enter a valid value for If Audited, the Most Likely Type of Audit Report (element # G3000-012).
R	R	R	G3000-020	"Going Concern" Indicator		Y	User may select: Yes or No.	
R	R	R	G3000-030	Reportable Condition Indicator		Y	User may select: Yes or No.	If you have selected "No" in G3000-030, then you must select "No" in G3000-040.
R	R	R	G3000-040	Material Weakness Indicator		Y	User may select: Yes or No.	
R	R	R	G3000-050	Material Noncompliance Indicator		Y	User may select: Yes or No.	
R	-	-	G4000-011	Type of Audit Report on Major Program Compliance to Follow		Y	Default to None. User must select from the following: Unqualified Opinion, Qualified Opinion, Adverse Opinion, No Opinion or Disclaimer of Opinion.	
-	R	-	G4000-013	Type of Audit Opinion on Compliance to Follow		Y	Default to None. User must select from the following: Unqualified Opinion, Qualified Opinion, Adverse Opinion, No Opinion or Disclaimer of Opinion.	
-	-	R	G4000-012	If Audited - The Most Likely Type of Audit Opinion on Compliance		Y	Default to None. User must select from the following: Unqualified Opinion, Qualified Opinion, Adverse Opinion, No Opinion or Disclaimer of Opinion.	
R	-	-	G4000-020	Dollar threshold used to distinguish Type A and Type B programs	N	Y		This field is mandatory. Please enter a valid value for account number G4000-020.

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
R	R	R	G4000-041	Indicator - Any Potential Audit Findings that are Reportable		Y	User may select: Yes or No.	
R	-	-	G4000-050	Federal Agencies Required to Receive the Reporting Package	N	Y	<p>The following Federal Agencies display:</p> <ul style="list-style-type: none"> ○ US Agency for Internal Development ○ Agriculture ○ Appalachian Regional Commission ○ Commerce ○ Corporation for National and Community Service ○ Defense ○ Education ○ Energy ○ Environmental Protection Agency ○ Federal Emergency Management Agency ○ General Services Administration ○ Health and Human Services ○ Homeland Security ○ Housing and Urban Development ○ Institution of Museum and Library Services ○ Interior ○ Justice ○ Labor ○ Legal Services Corporation ○ National Aeronautics and Space Administration ○ National Archives and Records Administration ○ National Endowment for the Humanities 	

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
							<ul style="list-style-type: none"> ○ National Science Foundation ○ Office of National Drug Control Policy ○ Small Business Administration ○ Social Security Administration ○ U.S. Department of State ○ Transportation ○ Treasury ○ United States Information Agency ○ Veterans Affairs ○ None ○ Other ○ Federal Cognizant Agency (if not marked above) 	
R	-	-	G4000-060	Enter name if Other is selected for G4000-050.	N	N		If you have selected "Other" for Federal Agencies to Receive the Reporting Package (element # G4000-050), then you must enter the name of the federal agency in element #G4000-060.
R	-	-	G4000-061	Enter name if Other is selected for G4000-050 and there are two "other" federal agencies required to receive the reporting package.	N	N		If you have selected "Other" for Federal Agencies to Receive the Reporting Package (element # G4000-050) and there are two "other" federal agencies, then you must enter the name of the second federal agency in element #G4000-061.
R	R	R	G4100-050	Total Amount of Questioned Costs	N	Y	Equals the sum of the values listed in G4200-030. A value of zero is allowed. This element number is only available for federal programs.	

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
R	-	-	G4200-010	Major Federal Program Indicator		Y	User may select: Yes or No. Must be one indicator for each CFDA number listed. This element number is only available for federal programs.	This field is mandatory. Please enter a valid value for Major Federal Program Indicator (element # G4200-010) which is a detail account of Total Federal Awards Expended (element # G4100-040).
R	R	R	G4200-020	Type of Compliance Requirement		Y	<p>Default to Activities Allowed or Unallowed. User must select from the following: Activities Allowed or Unallowed, Allowable Costs/Cost Principles, Cash Management, Davis – Bacon Act, Eligibility- Eligibility requirements are not fully documented for Low Rent or Sec.8, Eligibility – Rent Calculation, Eligibility - Other, Equipment and Real Property Management, Matching, Level of Effort, Earmarking, Period of Availability of Funds, Procurement, Program Income, Real Property Acquisition and Relocation Assistance, Reporting- Unsupported Line Items reported on the PHAS Performance Report, Reporting- Unsupported Line Items reported on the HUD-52723, Reporting- Other, Subrecipient Monitoring, Special Tests and Provisions, None, and Other.</p> <p>This element number is only available for federal programs.</p> <p>If G4200-060 is greater than zero, G4200-020 is mandatory. User must enter compliance requirements for the number of findings identified in G4200-060.</p>	This field is mandatory. Please enter a valid value for account number G4200-020.
R	R	R	G4200-030	Amount of Questioned Costs	N	Y	Zero is a valid value. This element number is only available for federal programs.	

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
R	R	R	G4200-040	Internal Control Findings		Y	Default to None. User must select from the following: Material Weakness, Reportable Conditions, or None Reported. This element number is only available for federal programs.	
R	R	R	G4200-070	Audit Finding Reference Number		N	This element number is only available for federal programs.	
R	R	R	G4200-080	Are Awards Part of the Research and Development Cluster?		Y	Default to None. User must select either Yes or No. This element number is only available for federal programs. This line item exists for Version 3 and beyond submissions only.	This field is mandatory. Please enter a valid value for account number G4200-080.
R	R	R	G4200-090	Are Awards Received Directly from a Federal Agency?		Y	Default to None. User must select either Yes or No. This element number is only available for federal programs. This line item exists for Version 3 and beyond submissions only.	This field is mandatory. Please enter a valid value for account number G4200-090.
R	R	R	G3100-010	Unqualified-Supplementary Information Required by GASB or FASB has been Omitted			If G3000-010/OR G3000-011/OR G3000-012, is Unqualified Opinion, one or more of the Unqualified details (G3100-010, G3100-020, G3100-030) must be selected. If G3100-030 contains a 'Yes' then G3100-010 and G3100-020 must contain 'No'. User must select 'Yes' or 'No'.	If you selected Unqualified Opinion in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must enter a response in the line Unqualified Supplementary Information Required by GASB or FASB has been Omitted (element # G3100-010 in the Details section for G3000-011/G3000-012). Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3100-010.

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
R	R	R	G3100-020	Unqualified-Other Information Included in a Document Containing Audited Financial statements is materially Inconsistent with Information Appearing in the Financial Statements			If G3000-010/OR G3000-011/OR G3000-012, is Unqualified Opinion, one or more of the Unqualified details (G3100-010, G3100-020, G3100-030) must be selected. If G3100-030 contains a 'Yes' then G3100-010 and G3100-020 must contain 'No'. User must select 'Yes' or 'No'.	If you selected Unqualified Opinion in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must enter a response in the line Unqualified - Other Information Included in a Document Containing Audited Financial statements is materially Inconsistent with Information Appearing in the Financial Statements (element # G3100-020 in the Details section for G3000-011/G3000-012). Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3100-020.
R	R	R	G3100-030	Unqualified-No Exceptions			If G3000-010/OR G3000-011/OR G3000-012, is Unqualified Opinion, one or more of the Unqualified details (G3100-010, G3100-020, G3100-030) must be selected. If G3100-030 contains a 'Yes' then G3100-010 and G3100-020 must contain 'No'. User must select 'Yes' or 'No'.	If you selected Unqualified Opinion in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must enter a response in the line Unqualified - No Exceptions (element # G3100-030 in the Details section for G3000-011/G3000-012). Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3100-030.

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
R	R	R	G3200-010	Qualified- GAAS- Scope limitations- Imposed by Management			Mandatory if G3000-010/OR G3000-011/OR G3000-012 is Qualified Opinion.	If you selected "Qualified Opinion" in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must enter the number of occurrences of scope limitations in the line Scope Limitations Imposed by Management (element # G3200-010 in the Details section for G3000-010/OR G3000-011/OR G3000-012). Zero is an acceptable value. Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3200-010.
R	R	R	G3200-020	Qualified- GAAS- Scope limitations- Imposed by Circumstance			Mandatory if G3000-010/OR G3000-011/OR G3000-012 is Qualified Opinion.	If you selected "Qualified Opinion" in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must enter the number of occurrences of scope limitations in the line Scope Limitations Imposed by Circumstances (element # G3200-020 in the Details section for G3000-010/OR G3000-011/OR G3000-012). Zero is an acceptable value. Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3200-020.

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
R	R	R	G3200-030	Qualified - Year 2000 Audit Flag			Mandatory if G3000-010/OR G3000-011/OR G3000-012 is Qualified Opinion.	If you selected "Qualified Opinion" in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must select "Yes" or "No" in the line Qualified – Year 2000 Audit Flag (element # G3200-030 in the Details section for G3000-010/OR G3000-011/OR G3000-012). Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3200-030.
R	R	R	G3300-010	Qualified- GAAP- Change in Accounting Principle			Mandatory if G3000-010/OR G3000-011/OR G3000-012 is Qualified Opinion.	If you selected "Qualified Opinion" in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must enter the number of occurrences of changes in accounting principle in the line Change in Accounting Principle (element # G3300-010 in the Details section for G3000-010/OR G3000-011/OR G3000-012). Zero is an acceptable value. Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3300-010.

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
R	R	R	G3300-020	Qualified- GAAP- Change in Accounting Estimate			Mandatory if G3000-010/OR G3000-011/OR G3000-012 is Qualified Opinion.	If you selected "Qualified Opinion" in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must enter the number of occurrences of changes in accounting estimate in the line Change in Accounting Estimate (element # G3300-020 in the Details section for G3000-010/OR G3000-011/OR G3000-012). Zero is an acceptable value. Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3300-020.
R	R	R	G3300-030	Qualified- GAAP- Change in Accounting Method			Mandatory if G3000-010/OR G3000-011/OR G3000-012 is Qualified Opinion.	If you selected "Qualified Opinion" in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must enter the number of occurrences of changes in accounting method in the line Change in Accounting Method (element # G3300-030 in the Details section for G3000-010/OR G3000-011/OR G3000-012). Zero is an acceptable value. Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3300-030.

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
R	R	R	G3300-040	Qualified- GAAP- Departures from GAAP			Mandatory if G3000-010/OR G3000-011/OR G3000-012 is Qualified Opinion.	If you selected "Qualified Opinion" in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must enter the number of occurrences of departures from GAAP in the line Departures from GAAP (element # G3300-040 in the Details section for G3000-010/OR G3000-011/OR G3000-012). Zero is an acceptable value. Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3300-040.
R	R	R	G3300-050	Qualified- GAAP- Inconsistently Applied GAAP			Mandatory if G3000-010/OR G3000-011/OR G3000-012 is Qualified Opinion.	If you selected "Qualified Opinion" in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must enter the number of occurrences of inconsistently applied GAAP in the line Inconsistently Applied GAAP (element # G3300-050 in the Details section for G3000-010/OR G3000-011/OR G3000-012). Zero is an acceptable value. Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3300-050.

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
R	R	R	G3300-060	Qualified- GAAP-Omission			Mandatory if G3000-010/OR G3000-011/OR G3000-012 is Qualified Opinion. .	If you selected "Qualified Opinion" in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must enter the number of occurrences of GAAP Omissions in the line GAAP - Omissions (element # G3300-060 in the Details section for G3000-010/OR G3000-011/OR G3000-012). Zero is an acceptable value. Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3300-060.
R	R	R	G3400-010	Qualified-Indicator-Accounting Principles Used Caused the Financial Statements to be Materially Misstated			Mandatory if G3000-010/OR G3000-011/OR G3000-012 is Qualified Opinion. User must select 'Yes' or 'No'.	If you selected "Qualified Opinion" in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must select "Yes" or "No" in the line Accounting Principles Used Caused Financial Statements to be Materially Misstated (element # G3400-010 in the Details section for G3000-010/OR G3000-011/OR G3000-012). Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3400-010.

Submission Type			Account Number	Account Title	Neg Value Allowed (default is "No")	Mand. (default is "No")	Editing and Business Rules	Error Messages
U1	U2	U3						
R	R	R	G3400-020	Qualified-Inadequate Records Indicator			Mandatory if G3000-010/OR G3000-011/OR G3000-012 is Qualified Opinion. User must select 'Yes' or 'No'.	If you selected "Qualified Opinion" in the line Type of Audit Report (element # G3000-010/OR G3000-011/OR G3000-012), then you must select "Yes" or "No" in the line Inadequate Records (element # G3400-020 in the Details section for G3000-010/OR G3000-011/OR G3000-012). Please verify that the correct responses have been entered in element #s G3000-010/OR G3000-011/OR G3000-012, G3400-020.